

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wang, Shaomeng

Serial No.:

10/729,156

Filed: 12/05/2003 Group No.:

1617

Hui, San Ming R. Examiner:

Entitled:

Small Molecule Antagonists of BCL-2 Family Proteins

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR [] 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 13, 2006

Sir or Madam:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

This Information Disclosure Statement is being filed before the issuance of a first Office Action, therefore Applicant believes no fee is required. If the Commissioner deems otherwise the Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated:

January 13, 2006

Robert A. Goetz

Registration No. 55,210

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105 608/218-6900



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CHRITIFICATIE OF MAILING UNDER 37 CHR § 1.5(a)(1)(1)(A)

Thereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class until in an envelope addressed to: Commissioner for repents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 13, 2003

By: Ablustic

Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- US Patent 6,114,397
- Vichanova et al., Antibiotics (moscow) 13:828-829 (1968) (Abstract in English)

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated:

January 13, 2006

Robert A. Goetz

Registration No. 55,210 MEDLEN & CARROLL, LLP 101 Howard Street, Suite 350 San Francisco, California 94105

608/218-6900

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

PADEM

Substitute for form 1449/P

 Complete if Known

 Application Number
 10/729,165

 Filling Date
 12/05/2003

 First Named Inventor
 Wang, Shaomeng

 Art Unit
 1617

 Examiner Name
 Hui, San Ming R

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number UM-08477

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 6,114,397	09/05/2000	Flack, et al	Whole Document
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner	Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Rec Complete if Known Substitute for form 1449/PTO **Application Number** 10/729,165 **INFORMATION DISCLOSURE Filing Date** 12/05/2003 **First Named Inventor** Wang, Shaomeng

Art Unit

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Examiner Name Attorney Docket Number Sheet of 2 UM-08477

1617 Hui, San Ming R

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*					
	2	Vichanova et al., Antibiotics (moscow) 13:828-829 (1968) (Abstract in English)			
		·			

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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